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## FAX TRANSMITTAL SHEET

September 13, 2005

TO: Examiner Nalven  
GAU 2134  
U.S. Serial No. 09/874,574

Company: U.S. Patent and Trademark Office

Fax #: 571-273-8300  
Mail Stop RCE

City/State: Alexandria, VA 22313

FROM: Steven P. Wigmore

5551

Our Ref. #:

05456.105035

NUMBER OF PAGES (including transmittal sheet) 40

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### Notes/Comments:

#### Documents Submitted Via Facsimile:

Applicant: Robert David Graham

Serial No.: 09/874,574

Filed: June 4, 2001

For: A System and Method for Preventing Network Misuse

Papers Faxed: Request for Continued Examination in duplicate (2-pgs.); Amendment Transmittal in duplicate (2-pgs.); One-Month Petition for Extension of Time in duplicate (2-pgs.); Amendment and Response Under 37 C.F.R. § 1.116 (33-pgs.).

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SEP 13 2005

<b>Request for Continued Examination (RCE) Transmittal</b>	Application No.	09/874,574
	Filing Date	June 4, 2001
	Named Inventor(s)	
	Robert David Graham	
	Group/Art Unit	2134
	Examiner Name	Andrew Nalven
Attorney Docket No.		05456.105035

This is a Request for Continued Examination (RCE) under 37 CFR § 1.114 of the above-identified application.  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicants may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE; to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practices. Final Rule, 65 Fed. Reg. 38092 (Aug. 16, 2000); Interim Rule, 63 Fed. Reg. 14865 (mar. 20, 2000), 1272 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 CFR §1.116 previously filed on \_\_\_\_\_. (Any numbered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.

iii. ☐ Other \_\_\_\_\_.

b. ☒ Enclosed

i. ☒ Amendment and Response Submitted Under 37 C.F.R. 1.116.

ii. ☒ One-Month Petition for Extension of Time.

iii. ☐ Information Disclosure Statement (IDS)

iv. ☐ Other.

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR § 1.17(i) required)

b. ☐ Other \_\_\_\_\_.

3. **Fees** (The RCE fee under 37 CFR § 1.17(e) is required by 37 CFR § 1.114 when the RCE is filed.)

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 11-0980.

i. ☒ RCE Fee required under 37 CFR § 1.17(c) [Fee: \$790.00]

ii. ☒ One-Month Extension of time fee \$120.00 (37 CFR §§ 1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_.

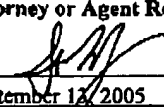
b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

09/15/2005 MBINAS 00000015 110980 09874574  
01 FC:1801 790.00 DA

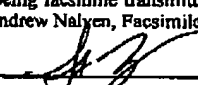
**Signature of Applicant, Attorney or Agent Required**

Steven P. Wigmore, Esq.  
KING & SPALDING LLP  
45<sup>th</sup> Floor, 191 Peachtree Street, N.E.  
Atlanta, Georgia 30303

By:  Reg. No. 40,447  
Date: September 13, 2005  
Telephone: 404.572.4600 Facsimile: 404.572.5145  
Customer No. 20786

**Certificate of Mailing or Transmission**

I hereby certify that this correspondence is being facsimile transmitted to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attn: GAU: 2134, Examiner Andrew Nalven, Facsimile No. 571-273-8300 on September 13, 2005.

  
Steven P. Wigmore, Reg. No. 40,447

PATENTS RECEIVED  
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## IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of:

Docket No. 05456.105035

SEP 13 2005

Robert David Graham

Serial No.: 09/874,574

Art Unit 2134

Filed: June 4, 2001

For: System and Method for Managing Security  
Events on a Network

Examiner: Andrew L. Naven

Confirmation No.: 5484

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 13, 2005

Sir:

Transmitted herewith are papers in the above-identified application.

- ☒ Request for Continued Examination (RCE) fee \$790.00 charged to Deposit Acct No. 11-0980.  
☒ Amendment and Response under 37 C.F.R. § 1.116.  
☒ Petition for One-Month Extension of Time Fee \$120.00 charged to Deposit Acct No. 11-0980.  
☒ An additional fee is not required.  
☐ The additional fee is calculated as shown below:

					SMALL ENTITY		LARGE ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE	RATE	ADDIT. FEE
TOTAL	52	MINUS	57=	0	x25	\$0	x50	\$ 0
INDEP.	6	MINUS	6=	0	x100	\$0	x200	\$ 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					+180	\$	+360	\$ 0
TOTAL						\$0	\$ 0	
ADDITIONAL FEE						\$0	\$ 0	

- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR § 1.16, or to credit any overpayment to Deposit Account No. 11-0980. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is being facsimile transmitted to Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Attn: Examiner A. Nalven, GAU 2134, Facsimile No. (571) 273-8300 on September 13, 2005.

Steven P. Wigmore, Reg. No. 40,447

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By:   
Steven P. Wigmore, Reg. No. 40,447

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## IN THE U.S. PATENT AND TRADEMARK OFFICE

PATENTS

In re application of:

Docket No. 05456.105035

**Robert David Graham**

Serial No.: 09/874,574

Art Unit 2134

Filed: June 4, 2001

For: **System and Method for Managing Security  
Events on a Network**

Examiner: Andrew L. Naven

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